

# Agenda

Dorset County Council



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Meeting: Audit and Governance Committee  
Time: 10.00 am  
Date: 25 October 2018  
Venue: Committee Room 2, County Hall, Colliton Park, Dorchester, Dorset, DT1 1XJ

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David Harris (Chairman)  
Cherry Brooks  
Colin Jamieson

Clare Sutton  
Ray Bryan  
William Trite

Richard Biggs  
Andrew Cattaway  
Vacancy x 2

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## Notes:

- The reports with this agenda are available at [www.dorsetforyou.com/countycommittees](http://www.dorsetforyou.com/countycommittees) then click on the link "minutes, agendas and reports". Reports are normally available on this website within two working days of the agenda being sent out.
- We can provide this agenda and the reports as audio tape, CD, large print, Braille, or alternative languages on request.

- **Public Participation**

Guidance on public participation at County Council meetings is available on request or at <http://www.dorsetforyou.com/374629>.

### Public Speaking

Members of the public can ask questions and make statements at the meeting. The closing date for us to receive questions is 10.00am on 22 October 2018, and statements by midday the day before the meeting.

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**Debbie Ward**  
Chief Executive

Contact: Denise Hunt, Senior Democratic Services Officer  
County Hall, Dorchester, DT1 1XJ  
01305 224878 - [d.hunt@dorsetcc.gov.uk](mailto:d.hunt@dorsetcc.gov.uk)

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## 1. **Apologies for Absence**

To receive any apologies for absence.

## 2. **Code of Conduct**

Councillors are required to comply with the requirements of the Localism Act 2011 regarding disclosable pecuniary interests.

- Check if there is an item of business on this agenda in which the member or other relevant person has a disclosable pecuniary interest.
- Check that the interest has been notified to the Monitoring Officer (in writing) and entered in the Register (if not this must be done on the form available from the clerk within 28 days).
- Disclose the interest at the meeting (in accordance with the County Council's Code of Conduct) and in the absence of a dispensation to speak and/or vote, withdraw from any consideration of the item.

The Register of Interests is available on Dorsetforyou.com and the list of disclosable pecuniary interests is set out on the reverse of the form.

## 3. **Minutes**

5 - 10

To confirm and sign the minutes of the meeting held on 29 June 2018.

## 4. **Public Participation**

(a) Public Speaking

(b) Petitions

## 5. **Points from the Chairman**

11 - 12

To receive a verbal update from the Chairman of the Committee.

## 6. **Progress on Matters Raised at Previous Meetings**

13 - 18

To consider a report outlining Cabinet decisions arising from recommendations of the Audit and Governance Committee or any outstanding actions identified at the last meeting.

## 7. **Annual Audit Letter 2017-18**

19 - 26

To consider a report by the external auditor KPMG.

## 8. **Report of Internal Audit Activity - Plan Progress 2018/19**

27 - 58

To consider a report by the South West Audit Partnership (attached).

## 9. **Financial Management Report**

59 - 70

To consider a report by the Chief Financial Officer (attached).

## 10. **Treasury Management and Prudential Code Review 2017/18**

71 - 90

To consider a report by the Chief Financial Officer (attached).

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| <b>11. External Funding Monitoring Report 2018</b>   | 91 - 102  |
| To consider a report by the Chief Executive (attached).  |           |
| <b>12. Monitoring Corporate Plan Outcomes: Summary of Issues being addressed by the Overview and Scrutiny Committees, October 2018</b> | 103 - 110 |
| To consider a report by the Chief Executive (attached).  |           |
| <b>13. Work Programme</b>  | 111 - 114 |
| To consider the Committee's current work programme.  |           |
| <b>14. Questions from County Councillors</b>   |           |
| To answer any questions received in writing by the Chief Executive by not later than 10.00am on 22 October 2018.                       |           |